B 210A (Form 210A) (12/09)

# UNITED STATES BANKRUPTCY COURT

Southern District of New York

In	re	LEHMAN	<b>BROTHERS</b>	HOLDINGS, INC	)
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Case No. <u>08-13555</u>

# TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

BANK CIMB NIAGA	HSBC INTERNATIONAL TRUSTEE LIMITED A/C 006-600779  Name of Transferor			
Name of Transferee				
Name and Address where notices to transferee should be sent: MENARA SENTRAYA 30TH FLOOR IL. ISKAHDAR SYAH RAYA NO. 1 A KEBATORAN BARU, JAKARTA SELATAN- INDONESIA 12160	Court Claim # (if known):44289  Amount of Claim:\$50,000.00  Date Claim Filed:10/22/2009			
Phone: +62 21 2700555	Phone: Last Four Digits of Acct. #:			
Last Four Digits of Acct #:	Last Four Digits of Acct. #:			
Name and Address where transferee payments should be sent (if different from above):  Phone: Last Four Digits of Acct #:				
I declare under penalty of perjury that the information probest of my knowledge and belief.  By:  Transferee/Transferee's Agent  Alway M	$c_{i}$			

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



HSBC Institutional Trust Services (Asia) Limited
17/F, Tower 2 & 3, HSBC Centre, 1 Sham Mong Road, Kowloon, Hong Kong
Tel: (852) 3663 5500
www.hsbcnet.com

6th May 2016

PT Bank CIMB Niaga Tbk Menara Sentraya Lt.30 Jl. Iskandarsyah Raya No.1A Kebayoran Baru Jakarta Selatan 12160 Indonesia Attention: Agustinus Chandra

> BY FAX (6221) 5276053 AND BY POST

Dear Mr. Agustinus,

#### NOTICE OF TERMINIATION OF THE NOMINEE AGREEMENT

- 1) Nominee Agreement dated 29<sup>th</sup> November 2004 between PT Bank Niaga Tbk and HSBC International Trustee Limited;
- 2) Novation agreement dated 24<sup>th</sup> July 2007 between PT Bank Niaga Tbk and HSBC International Trustee Limited and HSBC Institutional Trust Services (BVI) Limited AND
- 3) Novation agreement dated 1<sup>st</sup> December 2010 between PT Bank CIMB Niaga Tbk (formerly known as PT Bank Niaga Tbk) and HSBC Institutional Trust Services (BVI) Limited and HSBC Trustee (Cayman) Limited (the "Agreement")

We refer to the Agreement in relation to the appointment of HSBC Trustee (Cayman) Limited as nominee of investors (as defined in the Agreement) on whose behalf which PT Bank Niaga CIMB Tbk gives instructions.

As a result of our bank policies to redirect resources to other core businesses, we regret to inform you and hereby give notice of our intention to terminate the Agreement at the expiry of three months' notice period which commences on the date of this letter i.e. 23:59 (HK time) on 5 August 2016

In connection with the above, we are also writing to withdraw our consent to being named as service provider in any document issued by you or in respect of the funds and notes (if any) from the date of this letter.

Should you have any queries, please contact Mr. Larry Tsui at (852) 3663-5418 or email to larrystsui@hsbc.com.hk

Yours sincerely,

For and on behalf of

HSBC Trustee (Cayman) Limited

Andrew Law

Boron Li

RESTRICTED

DATED: 24 Aug 16

SIGNATURE

ORIGINAL



HSBC Institutional Trust Services (Asia) Limited 17/F, Tower 2 & 3, HSBC Centre 1 Sham Mong Road, Kowloon, Hong Kong

By Fax (852) 2801 4928 And By Mail

Attn: HSBC Trustee (Cayman) Limited

05 August, 2016

Dear Sir/Madam,

# Re: NOTICE OF TERMINATION OF THE NOMINEE AGREEMENT

- 1) Nominee Agreement dated 29th November 2004 between PT Bank Niaga Tbk and HSBC International Trustee Limited:
- Novation Agreement dated 24th July 2007 between PT Bank Niaga Tbk and HSBC International Trustee Limited and HSBC Institutional Trust Services (BVI) Limited; AND
- Novation Agreement dated 1st December 2010 between PT Bank CIMB Niaga Tbk (formerly known as PT Bank Niaga Tbk) and HSBC Institutional Trust Services (BVI) Limited and HSBC Trustee (Cayman) Limited (the "Agreement")

We officially confirm that the Agreement will terminate on 05 August 2016 at 23:59 (Hong Kong time) (the "Termination Date") and that HSBC Trustee (Cayman) Limited was ceased being name as services provider in any documents issued by us in respect of the funds and notes (if any) from 06 May 2016.

We hereby authorise HSBC Trust (Cayman) Limited ("HSBC") to undertake a migration exercise where the all shares held by HSBC as nominee of investors (As defined in the Agreement), on whose behalf PT Bank CIMB Niaga Tbk gives instructions, will be transferred to <PT Bank DBS Indonesia> ("DBS") whose registered address is <DBS Bank Tower, Lobby, 32nd-37th Floor, Ciputra World I, Jalan Prof. Dr. Satrio Kav.3-5, Jakarta 12940, Indonesia>. The respective account names and account numbers for DBS are listed in the attached table.

Should there be further enquires, please do not hesitate to contact Maryam Kartika or Agustinus Chandra by email at Maryam.Kartika@cimbniaga.co.id and Agustinus.Chandra@cimbniaga.co.id or by phone at (62-21) 270 0555 ext. 77447 and (62-21) 270 0555 ext. 77324

Yours Sincerely,

For and on behalf of

PT BANK CIMB NIAGA TBK

<Wandi>

< WM Process Manager >

< Vera Margaret >

< Wealth Management & Insurance Product Head >

RESTRICTED

PT Bank CIMB Niaga Tbk Sentraya Building 30th Floor Jl. Iskandarsyah Raya No. 1 A Kebayoran Baru Jakarta Selatan 12160 Phone: +6221 2700555 www.cimbniaga.com

CERTIFIED TRUE COPY

DATED: 24 Aug 16

EPIQ SYSTEMS 757 THIRD AVENUE THIRD FLOOR NEW YORK, NY 10017





MAILID \*\*\* 0004906532 \*\*\*

\*\*\*\* LBH CLMLTR (MERGE2,TXNUM2) 4000090818 \*\*\*\*

HSBC INTERNATIONAL TRUSTEE LIMITED 39/F, DORSET HOUSE, TAIKOO PLACE 979 KING'S ROAD OUARRY BAY, HONG KONG

December 02, 2009

#### ACKNOWLEDGEMENT OF RECEIPT OF PROOF OF CLAIM

This letter serves as acknowledgement that the claim identified below has been recorded by Epiq Bankruptcy Solutions, LLC, the court-approved claims agent, on the claims register in the LEHMAN BROTHERS HOLDINGS INC. case. It is also publically available at the following website address: http://chapter11.epiqsystems.com/LBH. To ensure that your claim has been recorded correctly, please review the following information:

Debtor:

LEHMAN BROTHERS HOLDINGS, INC.

Case Number:

08-13555

Creditor:

HSBC INTERNATIONAL TRUSTEE LIMITED

Date Received:

10/22/2009

Claim Number:

44289

Please note that nothing in this Acknowledgement should be construed to mean or imply that your claim is being allowed. The Debtor may elect to object to the identified claim on various grounds.

We strongly encourage you to review your submitted proof of claim on our website at the address listed above. To find your imaged claim, click on the "Filed Claims & Schedules" link at the top of the page, type in your claim number in the "Claim #" field, and click "Search."

WHEN REVIEWING YOUR CLAIM, PLEASE BE AWARE OF ANY PERSONALLY IDENTIFIABLE INFORMATION ("PII") SUBMITTED BY YOU. PII can include information used to distinguish or trace an individual's identity, such as their social security number, biometric records, drivers license number, account number, credit or debit card number (including any passwords, acces codes or PIN numbers), etc., alone, or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, mother's maiden name, etc.

The Proof of Claim Form allows for redacted documents. If you identify any PII in your filed claim, please contact us immediately at (646) 282-2400 or via our contact form on our website at http://www.epiq11.com/contact.aspx so we may assist you in redacting this information. Please be sure to specify the client/debtor about which you are inquiring.

You may also contact by either of the methods listed above should you have any other questions.

EPIQ BANKRUPTCY SOLUTIONS, LLC

DATED : 24 My 16

SIGNATURE

	Bankruptcy Court/Southe		PPO	OF OF CLAIM	
c/o Epio Bankrur	s Holdings Claims Processing ptcy Solutions, LLC	Center	FINO	OF OF CLAIM	
FDR Station, P.C.	D. Box 5076				
New York, NY 1	0150-5076				
In Re: Lehman Brothers	Holdings Inc., et al.	Chapter 11 Case No. 08-13555 (JMP)		O. H.C.	
Debtors.		(Jointly Administered)			
	st Which Claim is Held ers Holdings Inc	Case No. of Debtor 08-13555			
			1		
after the commenc	ement of the case. A request fi	ann for an administrative expense arising it payment of an administrative expense			
may be filed pursu	iant to 11 C S C 3 503		THIS SPACE	IS FOR COURT USE ONLY	
Name and addres	s of Creditor: (and name and	address where notices should be sent if	Check this box to indicate that	NOTICE OF SCHEDULED CLAIM: Your Claim is scheduled by the indicated Debtor as:	
different from Cr	editor)		this claim amends a previously filed claim.	four Claum is seneatifed by the indicated Debtor as:	
SERVICE TO SERVICE STATE OF THE PARTY OF THE		imited A/C 006-600779	1 dest described		
The state of the s	et House, Taikoo Pi	lace,	Court Claim Number:		
979 King's	Road, Hong Kong		(If known)		
Talambaaal.	(852)3663 5423;	jayelleung@hsbc.com.hk; Clementthkwong@hsbc.com.hk mail Address:	Filed on:		
	s where payment should be so				
Trains and address	s mere payment anound be so	an (ii dilletelli Holli 200ve)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.		
			Check this box if you are the debtor or trustee in this case.		
Telephone numbe	r: F	nail Address:	debtor or trustee in this case.		
	Claim as of Date Case Filed	The second secon	-	5. Amount of Claim Entitled to Priority	
If all or part of vo	our claim is secured, complete	Item 4 below; however, if all of your clai	m is unsecured, do not complete	under 11 U.S.C. §507(a). If any portion of	
nem 4.	our claim is entitled to priority		an is discented, do not complete	your claim falls in one of the following categories, check the box and state the	
If all or part of yo	ur claim qualifies as an Adm	nistrative Expense under 11 U.S.C. §503(	b)(9), complete Item 6.	amount.	
Check this b	ox if all or part of your claim	is based on a Derivative Contract.* is based on a Guarantee.*		Specify the priority of the claim;	
*IE VOUR CLA	IM IS RASED ON ABIOTIS	TO OWER BUDGULANT TO PITHER	A DERIVATIVE CONTRACT	Domestic support obligations under 11	
OR A GUARAN	TEE OF A DEBTOR, YOU	MUST ALSO LOG ON TO http://www. ETE THE APPLICABLE QUESTION OUR CLAIM WILL BE DISALLOWE	w.lchman-claims.com AND	U.S.C. § 507(a)(1)(A) or (a)(1)(B).	
SUPPORTING I	DOCUMENTATION OR Y	OUR CLAIM WILL BE DISALLOWE	AIR E AND UPLOAD ALL Wages, salaries or commissions (up to \$10,950), earned within 180 days before filing		
Check this b	oox if claim includes interest of	or other charges in addition to the principa rges. Attach itemized statement of interest sed on a Derivative Contract or Guarantee	l amount of the claim. Attach	mount of the claim. Attach r charges to this form or on  debtor's business, whichever is earlier - 11	
http://www.lehma	in-claims.com if claim is a ba	sed on a Derivative Contract or Guarantee	cor charges to ans form of on	U.S.C. § 507(a)(4).	
2. Basis for Cl		term note	Contributions to an employee benefit plan 11 U.S.C. § 507(a)(5).		
	tion #2 on reverse side.)			☐ Up to \$2,425 of deposits toward purchase,	
3. Last four di 3a. Debtor	may have scheduled accour	h creditor identifies debtor:		lease, or rental of property or services for personal, family, or household use - 11 U.S.C.	
(See in	struction #3a on reverse side	)		§ 507(a)(7).	
4. Secured Cla	aim (See instruction #4 on re-	verse side.) s secured by a lien on property or a right o	of setoff and provide the requested	Taxes or penalties oved to governmental units - 11 U.S.C. § 507(a)(8).	
information.		<u>_</u>	_	Other - Specify applicable paragraph of 11	
	reperty or right of setoff:	Real Estate	☐ Other	U.S.C. § 507(a)().	
Describe: _				Amount entitled to priority:	
Amount of a	openy: S	Annual Interest Rate of time case filed included in secured cla	im. if any:	Amount endied to priority.	
S		for perfection:		S	
Amount of S	Secured Claim: S	Amount Unsecured: S		#	
(See instruct	tion #6 on reverse side.)	dministrative Expense under 11 U.S.C.			
7. Credits: The	amount of all payments on th	is claim has been credited for the purpose documents that support the claim, such as accounts, contracts, judgments, mortgage piezs of documents providing evidence of judgments and of "redacted" on reverse side.)  ATTACHED DOCUMENTS MAY BI	of making this proof of claim.	FOR COURT USE ONLY	
orders, invoices, it	Attach reducted copies of any temized statements of running	accounts, confracts, judgments, mortgage	s promissory notes, purchase		
may also attach a s	summary. Attach reducted co	pies of documents providing evidence of p	perfection of a security interest.		
DO NOT SEND O	ORIGINAL DOCUMENTS	ATTACHED DOCUMENTS MAY B	E DESTROYED AFTER		
SCAINITING.	are not available, please expla				
Dates	Signatures The name 611-	a this alaim must sion it Classes and asias	adelila ifano afika andia	-	
Date:	person authorized to file this	g this claim must sign it. Sign and print name as laim and state address and telephone number if	different from the notice address		
	above. Attach copy of power	of attorney, if any.			
	Parally Co. n	ulant alaba. Pias of a group one d		1 10110 0 00 100	
	I GRAITY for presenting fraud	ulent claim: Fine of up to \$500,000 or im	iprisonment for up to 5 years, or bo	in. 18 U.S.C. 66 152 and 3571.	

CERTIFIED TRUE COPY SIGNATURE

### Exhibit D

Guarantee Questionnaire
General:
Name of Creditor: HSBC International Trustee Limited A/C 006-600779
Name and address where notices should be sent: 39/F, Dorset House, Taikoo Place, 979 King's Road, Hong Kong
Creditor contact person and phone number: Clement Kwong / Jay Leung (852)3663-5417/ (852)3663-5423
Name and address where payment should be sent if different from above:
1. Name of Debtor, or other entity, against which you have a direct claim (the "Obligor"):
Lehman Brothers Holdings Inc.
2. If such Obligor is in a bankruptcy or insolvency proceeding, administration, receivership, conservatorship, liquidation or similar proceeding, please provide the proof of claim and any attachments thereto filed against such Obligor or describe the claim against such Obligor if a proof of claim has not yet been filed.
3. List the agreement(s) under which your claim arises against the Obligor and provide all documentation evidencing your claim and supporting the calculation of the claim amount.*
Order Placement Form For Offshore Investment Products
4. Amount of claim against Obligor: \$_50,000
5. Name of Debtor that guarantees the payment/obligations of the Obligor against which you have a direct claim (the "Guarantor"):
Lehman Brothers Holdings Inc.
6. List the specific promise, representation and/or agreement(s) (including any corporate resolutions) under which your claim arises against the Guarantor and describe the obligations/performance that is guaranteed (please attach agreement).*
7. Amount of claim against the Guarantor: \$50,000

agreement.

\* Pursuant to Federal Rule of Bankruptcy Procedure 3001(c), if your claim is based on a written agreement, you are required to attach a copy of the writing evidencing such

CERTIFIED TRUE COPY DATED : 24 Aug 16